

**DORSEY & WHITNEY LLP**

SUITE 400 SOUTH  
1001 Pennsylvania Avenue, N.W.  
Washington, D.C. 20004

FACSIMILE COVER SHEET  
FAX (202) 442-3199

**FILE COPY**

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS LEGALLY PRIVILEGED AND CONFIDENTIAL INFORMATION INTENDED SOLELY FOR THE USE OF THE PERSONS OR ENTITIES NAMED BELOW. IF YOU ARE NOT SUCH PERSONS OR ENTITIES, DO NOT READ THE MESSAGE BELOW. ANY DISTRIBUTION, DISSEMINATION OR REPRODUCTION OF THIS FACSIMILE MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS MESSAGE IN ERROR, PLEASE IMMEDIATELY CALL US COLLECT AT (202) 442-3000.

Date: September 12, 2002

Time: 3:39 PM

**RECEIVED**

Total Number of Pages (including this cover sheet): 3

SEP 23 2002

TO: Johnson Control Work Station

FAX #: 703-746-9195

Technology Center 2600

FIRM NAME: U.S. Patent and Trademark Office LOCATION: Arlington, VA

TELEPHONE #:

FROM: Eddy Valverde, Patent Paralegal

E-mail: valverde.eddy@dorseylaw.com

TELEPHONE NUMBER: 202-442-3623

COMMENTS: Request for Corrected Filing Receipt for App. No. 09/344,492

Dear Sir or Madam:

Enclosed please find a copy of a Corrected Filing Receipt in connection with the above-identified application number indicating errors on the continuing data field and applicant's contact address. It is requested that the Office of Initial Patent Examination (OIPE) issue a Corrected Filing Receipt reflecting the changes noted on the enclosed Corrected Filing Receipt.

Respectfully submitted,



Eddy Valverde

Originator's Signature

Original will be sent via (check one): ☐ Mail ☐ Messenger ☐ Air ☐ Courier ☒ Will not be sent

PLEASE CONTACT FACSIMILE OPERATOR \_\_\_\_\_ AT (202) 442-3000  
IF TRANSMISSION IS INCOMPLETE OR CANNOT BE READ.

Reference # 384365-7



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/344,492         | 06/25/1999  | 2611         | 2654          | 5264            | 55       | 53         | 18         |

CONFIRMATION NO. 9126

## CORRECTED FILING RECEIPT



\*OC000000008736243\*

JOHN K HARROP  
DORSEY & WHITNEY LLP  
1001 PENNSYLVANIA AVENUE N W  
SUITE 360 SOUTH  
WASHINGTON, DC 20004  
→ Suite 400 South

Date Mailed: 09/05/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

JOHN S. HENDRICKS, POTOMAC, MD;  
MICHAEL L. ASMUSSEN, HERNDON, VA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 08/336,247 11/07/1994 PAT 5,986,690  
AND A CIP OF 08/160,194 12/02/1993 PAT 5,990,927  
AND A CIP OF 08/906,469 08/05/1997 PAT 6,408,437  
WHICH IS A CIP OF 08/160,281 12/02/1993 PAT 5,798,785  
CON

## Foreign Applications

If Required, Foreign Filing License Granted 07/20/1999

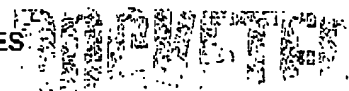
Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

## Title

ELECTRONIC BOOK WITH RESTRICTED ACCESS FEATURES



9-12-02  
WKE

Preliminary Class  
725

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

# FILE COPY

|                                    |                                |                     |                               |                                    |
|------------------------------------|--------------------------------|---------------------|-------------------------------|------------------------------------|
| <b>SERIAL NUMBER</b><br>09/344,492 | <b>FILING DATE</b><br>06/25/99 | <b>CLASS</b><br>348 | <b>GROUP ART UNIT</b><br>2711 | <b>ATTORNEY DOCKET NO.</b><br>5264 |
|------------------------------------|--------------------------------|---------------------|-------------------------------|------------------------------------|

APPLICANT

JOHN S. HENDRICKS, POTOMAC, MD; MICHAEL L. ASMUSSEN, HERNDON, VA.

**\*\*CONTINUING DOMESTIC DATA\*\*\*\*\***

VERIFIED      THIS APPLN IS A CIP OF      08/336,247 11/07/94

AND A CIP OF      08/160,194 12/02/93

AND A CIP OF      08/906,469 08/05/97

WHICH IS A CIP OF      08/160,281 12/02/93 PAT      5,798,785

**\*\*371 (NAT'L STAGE) DATA\*\*\*\*\***

VERIFIED

\_\_\_\_\_

**\*\*FOREIGN APPLICATIONS\*\*\*\*\***

VERIFIED

\_\_\_\_\_

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 07/20/99

|  |                               |                             |                           |                                 |
|--|-------------------------------|-----------------------------|---------------------------|---------------------------------|
| Foreign Priority claimed 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no<br><input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance | <b>STATE OR COUNTRY</b><br>MD | <b>SHEETS DRAWING</b><br>55 | <b>TOTAL CLAIMS</b><br>53 | <b>INDEPENDENT CLAIMS</b><br>18 |
|--|-------------------------------|-----------------------------|---------------------------|---------------------------------|

Verified and Acknowledged Examiner's Initials \_\_\_\_\_ Initials \_\_\_\_\_

ADDRESS

JOHN K HARROP  
 DORSEY & WHITNEY LLP  
 1001 PENNSYLVANIA AVENUE N W  
 SUITE 300 SOUTH  
 WASHINGTON DC 20004

TITLE

ELECTRONIC BOOK WITH RESTRICTED ACCESS FEATURES

|   |  |   |
|---|--|---|
| <b>FILING FEE RECEIVED</b><br><br>\$2,654 | <b>FEES: Authority has been given in Paper</b><br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>NO. _____ for the following: | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees (Filing)<br><input type="checkbox"/> 1.17 Fees (Processing Ext. of time)<br><input type="checkbox"/> 1.18 Fees (Issue)<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |
|---|--|---|